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Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/507,525
Sheet	1	of	9	Filing Date	September 14, 2004
				First Named Inventor	Werner OBEREGGER
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	100338.54030US

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					Number-Kind Code <sup>2</sup> (if known)
ST	AA	US- 4,571,395	02-18-1986	Anthony W. Peck	
	AB	US- 5,427,798	06-27-1995	Jennie Sue G. Ludwig et al.	
	AC	US- 5,731,000	03-24-1998	Michael David Ruff et al.	
	AD	US- 6,033,686	03-07-2000	Pawan Seth	
	AE	US 6,120,803	09-19-2000	Patrick S.L. Wong et al.	
	AF	US- 6,143,327	11-07-2000	Pawan Seth	
	AG	US- 6,153,223	11-28-2000	Vahé Henry Apelian et al.	
	AH	US- 6,197,827	03-06-2001	Douglas D. Cary	
	AI	US- 6,210,716	04-03-2001	Chih-Ming Chen et al.	
	AJ	US- 6,221,917	04-24-2001	Amitava Maitra et al.	
	AK	US- 6,238,697	05-29-2001	Vijal Kumar et al.	
	AL	US- 6,270,805	08-07-2001	Chih-Ming Chen et al.	
	AM	US- 6,306,436	10-23-2001	Shubha Chungi et al.	
	AN	US- 6,340,475	01-22-2002	John W. Shell et al.	
	AO	US- 6,342,249	01-29-2002	Patrick S.-L. Wong et al.	
	AP	US- 6,342,250	01-29-2002	David B. Masters	
	AQ	US- 6,363,626	04-09-2002	Padmanabh Bhatt et al.	
	AR	US- 6,395,300	05-28-2002	Julie Straub et al.	
	AS	US- 6,441,046	09-27-2002	Carl M. Mendel et al.	
	AT	US- 6,482,987	11-19-2002	Prakash Shiriram Kulkarni et al.	
	AU	US- 6,488,962	12-03-2002	Bret Berner et al.	
	AV	US- 6,495,605	12-17-2002	John R. McCullough et al.	
	AW	US- 6,500,459	12-31-2002	Chabra et al.	
ST	AX	US- 6,541,532	04-01-2003	Mark E. Ragsdale et al.	

Examiner Signature	/Susan Tran/	Date Considered	04/26/2006
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				Attorney Docket Number	100338.54030US

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		Number-Kind Code <sup>2</sup> (if known)				
ST	AY	US- 6,558,708		05-06-2003	Henry C. Lin	
	AZ	US- 6,569,463		05-27-2003	Mahesh V. Patel et al.	
	BA	US- 6,589,553		07-08-2003	Boyong Li et al.	
	BB	US- 6,797,283		09-28-2004	David E. Edgren et al.	
	BC	US- 6,730,321		05-04-2004	Richard Ting et al.	
	BD	US- 6,723,340		04-20-2004	Gloria Gusler et al.	
	BE	US- 6,635,281		10-21-2003	Patrick S.-L. Wong	
	BF	US- 6,641,614		11-04-2003	Erik J. Wagner et al.	
	BG	US- 2001/0018070		08-30-2001	John W. Shell et al.	
	BH	US- 2002/0044960		04-18-2002	S. Rao Cherukuri	
	BI	US- 2002/0047058		04-25-2002	Frank Verhoff et al.	
	BJ	US- 2002/0197311		12-26-2002	Steffen Hasenzahl et al.	
	BK	US- 2003/0003151		01-02-2003	Sham Chopra	
	BL	US- 2003/0044462		03-06-2003	Veerappa S. Subramanian et al.	
	BM	US- 2003/0059471		03-27-2003	Bruce Jon Compton et al.	
▼	BN	US- 2003/0064097		04-03-2003	Mahesh V. Patel et al.	
ST	BO	US- 2004/013286		07/08/2004	Hirsh et al	

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		Number-Kind Code <sup>3</sup> (if known)				
ST	BO	US-2003/0064104		04-03-2003	Suzanne Jaffe Stillman	
	BP	US-2003/0072802		04-17-2003	Neal R. Cutler	
	BQ	US-2003/0091630		05-15-2003	Jenny Louie-Helm	
	BR	US-2003/0104048		06-05-2003	Mahesh V. Patel et al.	
	BS	US-2003/0104052		06-05-2003	Bret Berner et al.	
	BT	US-2003/0104053		06-05-2003	Gloria Gusler et al.	
	BU	US-2003/0133982		07-17-2003	John M. Heimlich et al.	
	BV	US-2003/0134906		07-17-2003	Roberto Valducci et al.	
	BW	US-2003/0147952		08-07-2003	Jong C. Lim et al.	
	BX	US-2004/0229942		11-18-2004	Howard A. Hassman et al.	
	BY	US-2004/0225020		11-11-2004	John R. McCullough et al.	
▼	BZ	US-2004/0220274		11-04-2004	Susan B. Sobolov-Jaynes	
ST	CA	US-2004/0185097		09-23-2004	Muthaiyyan Esakki Kannan et al.	

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		Number-Kind Code <sup>2</sup> (if known)				
ST	CB	US-2004/0156899		08-12-2004	Jenny Louie-Helm	
	CC	US-2004/0156872		08-12-2004	H. William Bosch et al.	
	CD	US-2004/0141925		07-22-2004	H. William Bosch et al.	
	CE	US-2004/0121010		06-24-2004	Jane Hirsh et al.	
	CF	US-2004/0115263		06-17-2004	David W. Robertson et al.	
	CG	US-2004/0059002		03-25-2004	Richard R. Couch et al.	
	CH	US-2004/037879		02-26-2004	Prasad S. Adusumilli	
	CI	US-2004/0022852		02-05-2004	Sham Chopra	
	CJ	US-2004/0022844		02-05-2004	Steffen Hasenzahl et al.	
	CK	US-2003/0232080		12-18-2003	S. Indiran Pather et al.	
V	CL	US-2003/0152662		08-14-2003	Jennie Louie-Helm et al.	
ST CM		US-2003/0198683		10-23-2003	Boyong Li et al.	

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		Number-Kind Code <sup>3</sup> (if known)				
ST	CN	US- 4,711,782		12-08-1987	Hiroaki Okada et al.	
	CO	US- 4,713,248		12-15-1987	Kim Kojorn es et al.	
	CP	US- 4,792,452		12-20-1988	John R. Howard et al.	
	CQ	US- 4,795,641		01-03-1989	David S. Kashdan	
	CR	US- 4,795,643		01-03-1989	Pawan Seth	
	CS	US- 4,797,286		01-10-1989	Arvind L. Thakkar et al.	
	CT	US- 4,837,980		06-13-1989	Clarence L. Rogers Jr.	
	CU	US- 4,806,337		02-21-1989	Wallace C. Snipes et al.	
	CV	US- 4,847,092		07-11-1989	Arvind L. Thakkar et al.	
	CW	US- 4,860,662		08-29-1989	Takashi Matsumoto et al.	
	CX	US- 4,851,229		07-25-1989	Paul R. Magruder et al.	
	CY	US- 4,882,167		11-21-1989	Choong-Gook Jang	
	CZ	US- 5,047,248		09-10-1991	Massimo Calanchi et al.	
	DA	US- 5,082,655		01-21-1992	Wallace C. Snipes et al.	
	DB	US- 5,126,646		06-30-1992	Naoki Fujita et al.	
	DC	US- 5,169,638		12-08-1992	Andrew Dennis et al.	
	DD	US- 5,187,150		02-16-1993	Peter Speiser et al.	
	DE	US- 5,200,193		04-06-1993	Galen W. Radebaugh et al.	
	DF	US- 5,283,065		02-01-1994	Daniel J. Doyon et al.	
	DG	US- 5,391,777		02-21-1995	Masato Tanabe et al.	
	DH	US- 5,431,922		07-11-1995	Alf G.M. Nicklasson	
ST	DI	US- 5,462,747		10-31-1995	Galen W. Radebaugh et al.	

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ST	DJ	US- 5,478,572		12-26-1995	Stephen T. David et al.	
	DK	US- 5,523,095		06-04-1996	Alan K. Wilson et al.	
	DL	US- 5,582,837		12-10-1996	John W. Shell	
	DM	US- 5,603,953		02-18-1997	Scott M. Herbig et al.	
	DN	US- 5,648,096		07-15-1997	Bruno Gander et al.	
	DO	US- 5,558,879		09-24-1996	Chih-Ming Chen et al.	
	DP	US- 5,654,005		08-05-1997	Chih-Ming Chen et al.	
	DQ	US- 5,733,577		03-31-1998	Garry L. Myers et al.	
	DR	US- 5,762,950		06-09-1998	Antti Yli-Urpo et al.	
	DS	US- 5,762,961		06-09-1998	Bruce J. Roser et al.	
	DT	US- 5,890,334		04-06-1999	John P. Hughes, Jr. et al.	
	DU	US- 5,876,752		03-02-1999	Scott Max Herbig et al.	
	DV	US- 5,888,542		03-30-1999	Bruno Huet de Barochez et al.	
	DW	US- 5,919,484		07-06-1999	Jenn S. Shih et al.	
	DX	US- 6,022,562		02-08-2000	Pierre Autant et al.	
	DY	US- 6,020,002		02-01-2000	Garry L. Myers	
	DZ	US- 6,004,582		12-21-1999	Joaquina Faour et al.	
	EA	US- 6,096,339		08-01-2000	Atul D. Ayer et al.	
	EB	US- 6,033,685		03-07-2000	Yihong Qiu et al.	
	EC	US- 6,036,976		03-14-2000	Nobuyuki Takechi et al.	
	ED	US- 6,113,943		09-05-2000	Hiroaki Okada et al.	
ST	EE	US- 6,117,452		09-12-2000	Nils Ahlgren et al.	

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	EG	US- 6,143,325	11-07-2000	Andrew B. Dennis et al.	
	EH	US- 6,159,501	12-12-2000	Annette Skinhoj	
	EI	US- 6,183,780	02-06-2001	Paulus M. Van Balken et al.	
	EJ	US- 5,958,458	09-28-1999	Tomas Norling et al.	
	EK	US- 6,274,171	08-14-2001	Deborah M. Sherman et al.	
	EL	US- 6,287,587	09-11-2001	Takada Shigeyuki et al.	
	EM	US- 6,352,721	03-05-2002	Joaquina Faour	
	EN	US- 6,340,476	01-22-2002	Kamal K. Midha et al.	
	EO	US- 6,319,520	11-20-2001	Patrick Wuthrich et al.	
	EP	US- 6,355,272	03-12-2002	Carla Marcella Caramella et al.	
	EQ	US- 6,391,336	05-21-2002	Garfield P. Royer	
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	EY	US- 6,387,403	05-14-2002	Sylvia L. Seroff et al.	
	EZ	US- 6,555,136	04-29-2003	Kamal K. Midha	
ST	FA	US- 6,565,883	05-20-2003	Joerg Ogorka et al.	

Examiner Signature	/Susan Tran/	Date Considered	04/26/2006
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>	
Sheet	8	of	9	Application Number	10/507,525
				Filing Date	September 14, 2004
				First Named Inventor	Werner OBEREGGER
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	100338.54030US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials'	Cite No.'	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>Number-Kind Code* (if known)</i>					
ST	FB	US- 6,599,529	07-29-2003	Annette Skinhøj	
	FC	US- 6,682,759	01-27-2004	Jong C. Lim et al.	
	FD	US- 5,840,334	11-24-1998	Raiden et al	
	FF	US RE 33,994	07-14-1992	Baker et al	
	FG	US- 4,880,622	11-14-1989	Allcuck et al	
	FH	US 4,361,545	11-30-1982	Powell et al	
	FI	US 4,834,985	05-30-1989	Lelger et al;	
	FJ	US 5,030,457	07-09-1991	Ng et al	
ST	FK	US 6,475,521	11-05-2002	Timmins et al	

Examiner Signature	/Susan Tran/	Date Considered	04/26/2006
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Substitute for form 1449A/PTO				<b>Complete If Known</b>	
				Application Number	10/507,525
				Filing Date	September 14, 2004
				First Named Inventor	Werner OBEREGGER
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	9	of	9	Attorney Docket Number	100338.54030US

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
ST	FL	US- 6,599,529		07-29-2003	Annette Skinhøj	
ST	FM	US- 6,682,759		01-27-2004	Jong C. Lim et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
ST	FN	CA	2318960	08-05-1999	Sepracor Inc.		
	FO	CA	2286684	10-29-1998	Isa Odidi et al.		
	FP	CA	1,321,754	08-31-1993	Richard W. Baker et al.		
	FQ	CA	2259730	07-18-2000	Bernard Charles Sherman		
	FR	CA	2433915	08-15-2002	Andrx Pharmaceuticals Inc.		
	FS	WO	00/64773	09-21-2000	Nitromed, Inc.		
	FT	WO	02/094323	11-28-2002	Glaxo Group Limited		
	FU	WO	03/015795	02-27-2003	Nolan Eye & Laser Center		
↓	FV	WO	99/38499	08-05-1999	Sepracor Inc.		
ST	FW	WO	03/086362	10-23-2003	Ranbaxy Laboratories Limited		

Examiner Signature	/Susan Tran/	Date Considered	04/26/2006
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

*Sheet* 1 of 3

*Application Number* 10/507,525

**Filing Date** **September 14, 2004**

**First Named Inventor**      **Werner OBEREGGER, et al.**

**Art Unit**

**Examiner Name** *Susan Tran*

**Attorney Docket Number** 56819.403001

## **U.S. PATENT DOCUMENTS**

**EXAMINER SIGNATURE** /Susan Tran/

**DATE CONSIDERED** 07/10/2006

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Substitute for form 1449/PTO		<b>Application Number</b>	10/507,525		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Filing Date</b>	September 14, 2004		
		<b>First Named Inventor</b>	Werner OBEREGGER, et al		
		<b>Art Unit</b>	1615		
		<b>Examiner Name</b>	Susan Tran		
Sheet	3 of 3	APR 11 2006 U.S. PATENT AND TRADEMARK OFFICE	<b>Attorney Docket Number</b>	56819.403001	
<b>OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS</b>					
*Examiner initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			TRANSLATION
					<input type="checkbox"/> YES <input type="checkbox"/> NO
ST	14.	Hawley's Condensed Chemical Dictionary, 12 <sup>th</sup> Edition, pg. 1088, 1993.			<input type="checkbox"/> <input type="checkbox"/>
ST	15.	Handbook of Pharmaceutical Excipients 2 <sup>nd</sup> Edition, pg. 211-212 and 355-357, 1994.			<input type="checkbox"/> <input type="checkbox"/>
ST	16.	Hui, et al., "Design and Fabrication of Oral Controlled Release Drug Delivery Systems", Controlled Drug Delivery: Fundamentals and Applications, edited by J. R. Robinson & Vincent H. L. Lee,, 2 <sup>nd</sup> Edition, Chapter 9, pg. 373-432, 1987.			<input type="checkbox"/> <input type="checkbox"/>
ST	17.	Porter, "Controlled-Release Film Coatings Based on Ethylcellulose, Drug Development and Industrial Pharmacy, Vol. 15, No. 10, pg. 1495-1521, 1989.			<input type="checkbox"/> <input type="checkbox"/>
ST	18.	Volker Bühler, Generic Drug Formulations, 2 <sup>nd</sup> Edition, No. 3.5, 1998.			<input type="checkbox"/> <input type="checkbox"/>
ST	19.	Remington: the Science and Practice of Pharmacy, 19 <sup>th</sup> Edition, Mack Publishing Company, Vol. II, Chapter 93 and Chapter 94, pg. 1650-1675, 1995.			<input type="checkbox"/> <input type="checkbox"/>
ST	20.	The DOW Chemical Company, ETHOCEL Premium Polymers for Pharmaceutical Applications, October 1998.			<input type="checkbox"/> <input type="checkbox"/>
					<input type="checkbox"/> <input type="checkbox"/>
					<input type="checkbox"/> <input type="checkbox"/>
					<input type="checkbox"/> <input type="checkbox"/>
					<input type="checkbox"/> <input type="checkbox"/>
EXAMINER SIGNATURE    /Susan Tran/			DATE CONSIDERED    07/10/2006		
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